Gene Therapies for Neovascular Age-Related Macular Degeneration

Peter Pechan, Samuel Wadsworth, and Abraham Scaria
Gene Therapy, Sanofi-Genzyme R&D Center, Framingham, Massachusetts 01701
Correspondence: abraham.scaria@genzyme.com

Pathological neovascularization is a key component of the neovascular form (also known as the wet form) of age-related macular degeneration (AMD) and proliferative diabetic retinopathy. Several preclinical studies have shown that antiangiogenesis strategies are effective for treating neovascular AMD in animal models. Vascular endothelial growth factor (VEGF) is one of the main inducers of ocular neovascularization, and several clinical trials have shown the benefits of neutralizing VEGF in patients with neovascular AMD or diabetic macular edema. In this review, we summarize several preclinical and early-stage clinical trials with intraocular gene therapies, which have the potential to reduce or eliminate the repeated intravitreal injections that are currently required for the treatment of neovascular AMD.

Age-related macular degeneration (AMD) is the leading cause of central vision loss in individuals 65 years of age and older. Neovascular AMD, the most severe form of AMD, is characterized by subretinal or choroidal neovascularization (CNV). It often leads to permanent vision loss and the inability to read, write, recognize faces, or drive (Klein et al. 1992). Conventional therapies approved by the United States Food and Drug Association (FDA) were laser thermal photocoagulation and photodynamic therapy with intravenous injection of verteporfin (Visudyne, Valeant Pharmaceuticals) (Miller et al. 1999). Visudyne was the first drug therapy approved for treatment of wet AMD and is efficacious in patients who have predominantly classic lesions of CNV. The first anti-VEGF drug, pegaptanib sodium (Macugen, Eyetech Inc. and Pfizer) (Gragoudas et al. 2004), was approved by the FDA in December 2004 for all angiographic subtypes of neovascular AMD. Although the previous treatments can slow the progression of vision loss, only a small percentage of treated patients experienced any improvement in visual acuity. Ranibizumab (Lucentis, Genentech), introduced earlier (Brown et al. 2006; Rosenfeld et al. 2006) and aflibercept (Eylea, also known as VEGF Trap-Eye; Regeneron) (Heier et al. 2012) were approved by the FDA in June 2006 and in November 2011, respectively, for the treatment of all subtypes of neovascular AMD. An antiplatelet-derived growth factor (anti-PDGF) aptamer agent, Fovista (Ophthotech), is currently in clinical trials and is being tested in combination with ranibizumab. The combination is showing potential to be an additional treatment alternative for wet AMD (Boyer et al. 2009).

Although these treatments maintain vision (and in some cases improve vision), they require...
repeated treatments to remain effective. Hence, they still require years of frequent intravitreal injections, which can increase the potential risk of endophthalmitis and are inconvenient for patients, their families, and the treating physicians. An attractive alternate approach involves using a single intraocular injection of a gene therapy vector that would continuously express an antiangiogenic protein to block pathological neovascularization in AMD. Here we summarize the rationale and progress of preclinical and clinical trials using gene delivery strategies for the treatment of neovascular AMD. The gene delivery vectors used in these studies include adenoviral vectors (Ad), helper-dependent Ad vectors, adeno-associated viral vectors (AAV), and lentiviral vectors.

**MOLECULES DELIVERED USING GENE THERAPY**

**VEGF Inhibitors**

Formation of choroidal neovessels that penetrate the subretinal space, because of overproduction of growth factors such as vascular endothelial growth factor (VEGF), is the main cause of vision loss in neovascular AMD. VEGF also plays a significant role in the leakage of new intraretinal blood vessels in proliferative diabetic retinopathy (Connolly et al. 1989; Ferrara and Henzel 1989; Aiello et al. 1995; Ferrara et al. 1998). Knowing the role of VEGF in the formation of these neovessels is the determining factor in the development of anti-VEGF therapies. It has been shown that VEGF is necessary for development and maintenance of pathological neovascularization, and blockade of VEGF receptor signaling via VEGF receptor 1 (VEGFR-1, Flt) or VEGFR-2 (Flk, KDR) is sufficient to inhibit neovascularization (Aiello et al. 1995; Ozaki et al. 2000). The four main biological effects of VEGF, as determined by Ferrara and Gerber (2001), are increase in vascular permeability, growth and proliferation of vascular endothelial cells, migration of vascular endothelial cells, and survival of immature endothelial cells by preventing apoptosis. The role of VEGF in inducing retinal neovascularization and vascular leakage has been confirmed in several animal models using ocular gene delivery of VEGF (Yu et al. 1999; Rakoczy et al. 2003; Lebherz et al. 2005; Julien et al. 2008). Since the original study in rhesus monkeys (Ryan 1979), laser rupture of Bruch’s membrane has become a common technique to induce CNV in different animal species. Increased expression of VEGF has been shown in laser-induced CNV in rats (Yi et al. 1997), and the blockade of VEGF receptor kinase activity (using small molecule inhibitors) has been shown to cause almost complete inhibition of laser-induced CNV in mice (Kwak et al. 2000). Blocking VEGF with antibodies or soluble VEGF receptors and inhibition of VEGF receptor tyrosine kinase activity are strategies that have shown promising preclinical and clinical results in the suppression of retinal neovascularization. Over the years, several potent VEGF inhibitor proteins have been tested in preclinical models. Intravitreal injections of VEGF-neutralizing chimeric proteins, consisting of the extracellular domain of either human Flt or mouse Flk receptors and an immunoglobulin IgG Fc region, suppressed retinal neovascularization in a murine model of ischemic retinopathy (Aiello et al. 1995). Ranibizumab (previously known as rhuFabV2), a humanized anti-VEGF monoclonal antibody fragment, has been shown to prevent laser-induced CNV in an experimental monkey model (Krystolik et al. 2002). It has been shown that an anti-murine-VEGF antibody blocked neovascularization in a murine laser CNV model (Campa et al. 2008). Intravitreal injection of VEGF-Trap, a recombinant fusion protein that contains the domain 2 of Flt-1 and domain 3 of KDR fused to the Fc portion of human IgG1 (Holash et al. 2002), suppressed laser-induced CNV in a mouse model, and subcutaneous injection of VEGF-Trap also significantly inhibited subretinal neovascularization in a VEGF-overexpressing transgenic mouse model (Saishin et al. 2003). The success in preclinical models resulted in introduction of anti-VEGF protein therapy into clinical trials. Ranibizumab (Presta et al. 1997) improved vision in almost half of all treated patients with neovascular AMD (Brown et al. 2006; Rosenfeld et al. 2006), and aflibercept yielded...
similar benefits in patients with neovascular AMD (Heier et al. 2012). Both drugs stabilized existing vision in >90% of patients; however, they require frequent intravitreal injections (sometimes for years) by a retinal specialist.

An alternative approach, such as intraocular gene delivery of VEGF antagonists, would remove the need for frequent intravitreal injections and could provide other advantages over the current treatments. VEGF antagonists currently being investigated in this gene delivery approach are variations of the soluble VEGF receptor Flt-1 (Shibuya et al. 1990). These include the secreted form of the VEGF receptor flt-1 (Kendall and Thomas 1993; Lai et al. 2002), the entire flt-1 ectodomain (Kendall and Thomas 1993; Lai et al. 2002), or sFLT01 (flt-1 domain 2 fused to theFcportion of human IgG via a 9-glycine linker) (Pechan et al. 2009).

One of the earliest studies showing inhibition of ocular neovascularization by gene delivery of a VEGF antagonist was described by Honda et al. (2000). An Ad vector expressing flt-1 (domains 1–7) fused to theFcportion of human IgG (Aiello et al. 1995; Honda et al. 2000), or sFLT01 (Flt-1 domain 2 fused to the Fc portion of human IgG via a 9-glycine linker) (Pechan et al. 2009).

In another long-term safety and efficacy study using nonhuman primates, subretinal injection ofAAV2.sFlt-1, encoding the secreted form of flt-1, prevented the development of laser photocoagulation-induced CNV in all treated monkey eyes, with regression of neovascular vessels observed in 85% of the treated eyes (Lai et al. 2005). Treatment with sFlt-1 did not change the retinal morphology, and the majority of the treated eyes (75%) retained high numbers of functional photoreceptors as measured by electroretinography (Lai et al. 2005).

Our group at Genzyme has generated a novel chimeric VEGF-binding molecule, sFLT01, containing only the second domain of Flt-1 fused to a human IgG1Fc through a polyglycine linker, 9Gly (Pechan et al. 2009). We have shown thatAAV2-mediated intravitreal gene delivery of sFLT01 efficiently inhibits angiogenesis in the mouse oxygen-induced retinopathy model (Pechan et al. 2009). Preclinical efficacy studies...

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of intravitreally administered AAV2-sFLT01 were conducted in both C57BL/6 mouse and cynomolgus monkey models of laser-induced CNV (Lukason et al. 2011). In the mouse model, the eyes treated with AAV2-sFLT01 showed a very significant reduction in the number of burns with CNV. In cynomolgus monkeys, AAV2-sFLT01 was able to effectively inhibit laser-induced CNV in a dose-dependent manner. Two studies, with a lower dose (2 × 10⁸ or 2 × 10⁹ vector genomes) and a higher dose (2 × 10¹⁰ vector genomes) of AAV2-sFLT01 were conducted. With the lower dose, none of the sFLT01 treatment eyes showed a statistically significant reduction in leaking CNV lesions compared with the AAV2-Null control eyes. With the higher dose, all AAV2-sFLT01 treated eyes showed a significant reduction in the amount of CNV leakage compared with the naive contralateral control eyes with only 7% of the AAV2-sFLT01-treated burns showing leakage compared with 56% in the control eyes (Lukason et al. 2011).

Following intravitreal injection, it was also shown that AAV2-sFLT01 is well tolerated, localized in the eye, and capable of long-term expression in nonhuman primates (MacLachlan et al. 2011). Cynomolgus monkeys given an intravitreal injection of a low dose (2.4 × 10⁹ vector genomes) or high dose (2.4 × 10¹⁰ vector genomes) of AAV2-sFLT01 showed no electroretinographic or fluorescein angiography abnormalities for up to 12 mo, the longest time point evaluated. Mild to moderate vitreous inflammation that was transient and resolved spontaneously without any drug treatment was seen in the high-dose group, but not the low-dose group. Histopathological examinations of eyes from the low-dose group were normal and those from the high-dose group showed only occasional inflammatory cells in the trabecular meshwork, vitreous, and/or retina. Aqueous levels of sFLT01 measured in these monkey studies ranged from ~10 to 400 ng/mL at 1 mo. The expression levels were dose-dependent and decreased slightly but were still in the same general range at 12 mo. Vitreous levels of sFLT01 (when measured) were significantly higher than sFLT01 levels in the aqueous. An additional biodistribution study, performed in both Sprague-Dawley rats and cynomolgus monkeys, found only trace amounts of AAV2 vector transiently outside the injected eye (MacLachlan et al. 2011).

These encouraging preclinical efficacy and safety data have led to a Phase I dose-escalating trial testing four doses of AAV2-sFLT01 (2 × 10⁸, 2 × 10⁹, 6 × 10⁹, and 2 × 10¹⁰ vector genomes) in patients with advanced neovascular AMD (registration no. NCT01024998; see http://clinicaltrials.gov/). The trial uses a single intravitreal injection with a fixed 100 μL volume of the AAV2-sFLT01 vector. Three patients were enrolled in each of the four cohorts to identify the maximal tolerated dose. Additional cohorts of patients are being treated with this maximal dose. Aqueous levels of sFLT01 are being measured in this trial and will provide useful information regarding the level and duration of transgene protein expression as well as safety and biological activity of sFLT01 as measured by optical coherence tomography of the retina. The study is being conducted at multiple medical centers in the United States.

Gene delivery of sFLT01 was studied by another group at the National Eye Institute in Ccl2/Cx3cr1-deficient mice, another model of AMD (Tuo et al. 2012). Previously, it was reported that Ccl2/Cx3cr1-deficient mice developed a broad spectrum of AMD-like pathology with early onset and high penetrance (Tuo et al. 2007). Subretinally injected AAV5-sFLT01 vector stabilized or arrested the progression of retinal lesions in Ccl2/Cx3cr1-deficient mice. Subretinal injection of AAV5-based vectors typically leads to significant gene expression in photoreceptors and retinal pigment epithelium. The changes in VEGF, ERK phosphorylation, and iNOS in the retinal tissues suggested the involvement of reactive nitrogen species in the retinal lesions. The findings indicate the potentially beneficial effects associated with sFLT01 gene therapy for retinal disease and possibly AMD, given the role of oxidative stress (Tuo et al. 2012).

There have been some reports that long-term systemic inhibition of VEGF in mice can be deleterious to the photoreceptors, retinal pigment epithelium, and choroid (Saint-Geniez...
et al. 2008, 2009). However, one report using double-transgenic mice with doxycycline-inducible expression of the soluble, secreted, full-size extracellular domain of VEGF receptor-1/sFlt-1 coupled to an IgG1 Fc fragment (sVEGFR1Fc) indicated that constant blockade of VEGF for up to 7 mo has no identifiable deleterious effects on the retina or choroid (Ueno et al. 2008), supporting the use of VEGF antagonists in the treatment of retinal diseases. Two other studies focusing on subretinally injected AAV: sFlt-1 showed that this gene therapy approach is safe and effective for the long-term (8 mo) inhibition of pathological blood vessel growth in the eye (Lai et al. 2005, 2009).

To decrease potential long-term complications of anti-VEGF therapies, inducible, helper-dependent Ad vectors expressing sFlt-1 vectors (delivered intravitreally) have been tested for their therapeutic efficacy in a rat model of oxygen-induced retinopathy in a constitutive or doxycycline-inducible manner (Lamartina et al. 2007). The sFlt-1 cDNA used in these studies had a similar structure to the sFlt-1 form used by Rakoczy’s group (Lai et al. 2005) that encodes the alternatively spliced, soluble sFlt-1 isoform. Treatment with these vectors resulted in detectable levels of sFlt-1, and retinal neovascularization was significantly inhibited. The strategy of using an inducible vector may turn out to be a useful system for regulating protein expression in the eye.

To avoid the complications of immune responses to viral vectors, there are ongoing investigations into an alternate method involving direct injections into the ciliary muscle of a nonviral gene transfer vector expressing one of three different rat sFlt-1 variants: small-sFlt-1 (3 domains), medium-sFlt-1 (4 domains), and large sFlt-1 (6 domains). All three sFlt-1 variants significantly diminished vascular leakage and neovascularization in a rat model of laser-induced CNV (El Sanharawi et al. 2013). It is not clear at this point how long expression lasts with nonviral vectors compared with AAV vectors, which have shown ocular expression for several years.

Another approach under investigation is posttranscriptional silencing of VEGF gene expression using RNA interference (RNAi). Short interfering RNA (siRNA) designed against VEGF mRNA was shown to silence VEGF gene expression and inhibit the development of laser-induced CNV in the mouse eye (Reich et al. 2003). In another experiment by the same group, it was shown that intravitreal injection of siRNA against VEGF mRNA inhibited the growth and vascular permeability of laser-induced CNV in a nonhuman primate. This effect was dose-dependent and did not cause any change in electroretinogram, hemorrhage, inflammation, or clinical signs of toxicity (Tolentino et al. 2004). Gene therapy using this approach uses short hairpin RNA (shRNA) delivered by a plasmid or viral vector. The shRNA silences the target gene through a complex process resulting in the cleavage of the target mRNA (Macrae et al. 2006). An AA/V8 vector expressing an anti-VEGF shRNA, when injected subretinally, significantly reduced CNV (up to 48%) in a laser-induced murine model (Askou et al. 2012).

**Pigment Epithelium-Derived Factor (PEDF)**

PEDF is a 50 kDa glycoprotein belonging to the serine proteinase inhibitor (SERPIN) superfamily (Tombran-Tink et al. 1991; Becerra et al. 1995). PEDF has neuronal differentiating activities (Tombran-Tink et al. 1991) and neurotrophic activities (Steele et al. 1993) and is a potent antiangiogenic factor (Stellmach et al. 2001). The ratio between VEGF and PEDF levels is altered in the aqueous and vitreous fluids from patients with diabetic retinopathy and AMD (Ogata et al. 2001; Ohno-Matsui et al. 2001).

Three different models of ocular neovascularization have been used to investigate the efficacy of PEDF gene transfer in inhibition of neovascularization (Mori et al. 2001a). In VEGF-overexpressing transgenic mice and in the oxygen-induced retinopathy mouse model, intravitreal injection of Ad-PEDF vector resulted in significant inhibition of neovascularization as compared with null vector (Mori et al. 2001a). Both intravitreal and subretinal injection of an
Ad-PEDF vector significantly reduced CNV area in a mouse model of laser-induced CNV (Mori et al. 2001a) and even caused regression of already-established ocular neovascularization (Mori et al. 2002).

A safety study for intravitreal administration of Ad-PEDF was conducted in cynomolgus monkeys and resulted in dose-dependent, drug-induced ocular toxicity. No toxicity was detected in the eye at a low dose of $1 \times 10^8$ particle units (pu) Ad-PEDF, but dose-related inflammatory responses occurred at doses of $1 \times 10^9$ pu and higher (Rasmussen et al. 2003). Moreover, a significant decrease in electroretinographic response occurred at doses of $1 \times 10^{10}$ pu or higher, correlating with more pronounced toxicity.

Periocular and intravitreal injections of E1/E4-deleted Ad vector expressing human PEDF (AdPEDF .11) was tested in a CNV model in pigs, which have eyes that are very similar to humans in size and scleral thickness. The periocular injection of $1 \times 10^{10}$ or $1 \times 10^{11}$ pu of Ad-PEDF gave rise to increased levels of PEDF in the periocular tissue and choroid and significantly reduced (77%) the amount of CNV at rupture sites in Bruch’s membrane (Saishin et al. 2005). At a dose of $1 \times 10^9$ pu injected intravitreally the reduction in CNV area was less pronounced (38%). Periocular injections could be less invasive and potentially safer for patients.

A phase 1 clinical study (NCT00109499; GenVec) was completed in which 28 patients with advanced neovascular AMD were given a single intravitreal injection of an AdPEDF.11 in doses ranging from $10^6$ to $10^{9.5}$ pu (Campochiaro et al. 2006). Six patients experienced increased intraocular pressure that was easily controlled by topical medication. Signs of mild, transient intraocular inflammation occurred in 25% of patients, but there was no severe inflammation, no serious adverse events, or dose-limiting toxicities. There were hints of anti-angiogenic activity of PEDF in the high dose patients for a few months (Campochiaro et al. 2006), and this approach may be worth investigating further with vectors that provide long-term expression of PEDF.

Endostatin and Angiostatin

Endostatin, a cleavage product of collagen XVIII, participates in physiological regression of the hyaloid vasculature and regulation of retinal vascular development and is known to inhibit tumor angiogenesis. A role for endogenous endostatin in inhibiting experimental CNV has also been shown (Marneros et al. 2007). Intravenous (tail vein) injection of Ad-endostatin under control of the CMV or Rous sarcoma virus promoter also completely prevented CNV development in a laser-induced mouse model of CNV (Mori et al. 2001b). Two different vectors for subretinal endostatin delivery were tested in the double transgenic mouse model with doxycycline-induced expression of VEGF in the retina. Both the bovine immunodeficiency lentiviral vector and the helper-dependent Ad vector with tamoxifen-inducible expression of endostatin resulted in significant suppression of leakage of intravascular $[^3H]$mannitol into the retina in this model (Takahashi et al. 2003). An equine infectious anemia virus (EIAV)-based vector encoding endostatin was evaluated in C57Bl/6J mice with experimental laser-induced CNV (Balaggan et al. 2006). The vector effectively controlled angiogenesis and hyperpermeability without long-term deleterious effects, and significantly augmented the frequency of apoptosis within the induced CNV as compared with injected controls (Balaggan et al. 2006).

Angiostatin is a fragment of plasminogen that inhibits endothelial proliferation in vitro and tumor growth in vivo. Subretinal injection of AAV-angiostatin has been shown to significantly reduce the size of CNV lesions in a rat model of laser-induced CNV (Lai et al. 2001). Intravitreal injections of HIV-based lentiviral vector encoding angiostatin have shown that gene delivery of angiostatin can inhibit retinal neovascularization in a mouse oxygen-induced retinopathy model (Igarashi et al. 2003). EIAV-based vectors encoding angiostatin or endostatin have been evaluated in an experimental laser-induced CNV mouse model (Balaggan et al. 2006). Both vectors effectively controlled angiogenesis and hyperpermeability without long-
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CONCLUDING REMARKS

Studies in animal models suggest that expression of antiangiogenic proteins in the eye by gene delivery could potentially benefit patients with neovascular AMD and avoid the need for repeated intraocular injections. Four different phase I/II clinical trials are in various stages of completion. Two of the trials (Ad-PEDF from GenVec and AAV2-sFLT01 from Genzyme) involve an intravitreal injection, which is currently the well-accepted method used to deliver Lucentis and Eylea in the clinic. The other two trials (EIAV-endostatin.angiostatin from Oxford BioMedica and AAV2-sflt-1 from Avalanche) involve subretinal injections in an operating room procedure. However, subretinal delivery can theoretically deliver higher levels of the therapeutic protein to the outer retina and choroid. Although these trials are not masked and are primarily safety trials, we should be able to generate some very useful data on the feasibility/safety of ocular gene delivery, protein expression levels, and biological activity of the protein as measured by retinal optical coherence tomography and fluorescence angiography within the next couple of years. This could potentially set the stage for the design of larger phase III clinical trials.

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